


GENERAL AUTHORITY

To,
RS Wealth Management Pvt. LTD.
606, Pearls Best Heights-II, Plot No. C-9
Netaji Subhash Place, Pitampura-110034

Sub : General Authority

I/we are dealing with you as client at NSE/BSE/MCX in Capital market and /or Future & Option Segment and or Commodity segment and or Currency Segment & in Order to facilitates ease of operations and upfront requirement of margin for trade, I/we authorize you as under :


1. I/We authorise you to set off outstanding in any of my/our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange or in any other exchange and/or against the value of cash margin or collateral shares provided to you by me/us.
2. I/We hereby authorise you not to provide me/us order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.
3. I agree that if my contract note bounce for more than 5 times you may dis-continue sending contract note/other documents/details /information on my e-mail and will start sending physical documents and same may attract administration/other charges.
4. I/We request you to retain credit balance in any of my/our account and to use the unused funds towards my/our margin/future obligation at any or all the Exchanges unless I/We instruct you otherwise. I/We also authorize you to debit the necessary demat charges from time to time, for keeping the shares in your client demat beneficiary account on my behalf. I/We also authorise you to debit the financial charges@2% p.m. for the debit balances or delay payment charges at the rate prescribed by exchange for shortage in margin/debit balances, if any, in my account and not settled as per the exchange requirements.
5. I/We request you to retain Securities/Commodities in your demat account for my/our margin/future obligations at all Exchanges, unless I/We instruct you to transfer the same to my/our account.
6. I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me/us all the confirmation on telephonic unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.
7. We request that you/exchange/commodities/other regulatory authority may send/dispatch us contract notes/e-mail alert/other documents through e-mail on my/our designated e-mail address mentioned by me/us in KYC. I/We will completely rely on the log reports of you dispatching software as a conclusive proof of dispatch of e-mail to me/us and will not dispute on the same. I/We note that non-receipt of bounced mail notification by the stock broker shall amount of the contract note at my/our e-mail ID.
8. I/We will inform you the change of my/our email : ID, either by Post or Email.
9. I/We confirm that I/We never sublet the trading terminal on any term of connectivity from my place to any other place without your prior approval.
10. I/We are aware and acknowledge that trading of all exchanges is in Electronic mode, based on Vsat, lease line, ISDN, Modem, VPIN, Internet and/or combination of technologies and computer system to place and route order and also involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, pay in payout of funds & securities, online & offline banking etc. these are susceptible to interruptions, delay, mistake and dislocations and your services may at any time be unavailable without further notice and I/We understand that there exists a possibility of communication failure or system problems or slow or delay response from system or trading half, or any such other problem/glitch whereby not been able to establish access to the trading system/network or delay in execution of trades, which may be beyond your control any may result in delay in processing or not processing of any orders either in part or in full. I understand that you are not making any representation or warranty that your service will be available to the Client at all times without any interruption. I/We agree that I/We shall not have any claim for any loss incurred by me/us against you on account of any suspension, delay, interruption, non availability or malfunctioning of your System or Service for any reason whatsoever.
11. I/We am/are agree able for inter-settlement transfer of securities/commodities towards settlement.
12. All fines/penalties and charges levied upon you due to my acts/deeds or transaction may be recovered by you from my account.
13. I/we am/are agreeable for & authorize you to with hold funds pay-out towards all the applicable margins and debits.

Sign here : (14) 



14. I have a Trading & Demat a/c with you, Please debit the charges relevant with depository services from my trading account on monthly basis or as bill generated. I also agree to maintain the adequate balance in my trading account/pay adequate advance fee for the said reason.
15. I We/Shall abide by the rules regulations/guidelines circular of the exchange issue from time to time as applicable and shall be liable for non-adharance.
16. I We agree that any MTM loss on open position should be adjusted against the initial deposit maintained in cash by me /you with you.
17. With reference to Trading Account open with you for the purpose of dealing in Derivative Segment of the Exchanges. In case of default in daily margin of failure to fulfill in any additional margin requirement stipulated by you (include levied by the Exchange) or if any outstanding debt occur in my/our account, I/We irrevocably authorized you to closed out / liquidate whole or any part of my/ our position without prejudice to your right to refer the matter to Arbitration. Any or all losses and financial charge on account of such liquidation/closing out, etc shall be charged to and borne by me/us. If due to power failure, connectivity failure, circuit breakers, lack of market dept or any other reason, my/our open trade I not squared off on the same day, you may square off the same on subsequent trading session.
18. I will inform you the change of my/our demographic detail and other detail like Bank, DP etc.
19. For the purpose of providing quality and transparent services to its client, the company may record your conversation while interacting with you.

Yours faithfully,

Sign here : (15) 

Client Code : _____

Client Name : _____

Date : _____

VERBAL ORDER ACCEPTANCE AUTHORISATION

To,
RS Wealth Management Pvt. LTD.
606, Pearls Best Heights-II, Plot No. C-9
Netaji Subhash Place, Pitampura-110034
Dear Sir,

I/we am dealing with you as client at NSE/BSE in cash, Derivative Segment in NSE/BSE/MCX. As my/our broker i.e. agent I/We direct and authorize you to carry out trading/dealing on my/our behalf as per instruction given below.

I/We agree and acknowledge that it is advised by you that I/We should give instruction for order placement/modification and cancellation in writing and to avoid disputes. I/We must give instruction in exactly the format given below in duplicate (Carbon copy/photocopy only) and take signatures of at least two authorized officers at the branch along with company stamp on the carbon copy/photocopy of the instructions in acknowledgment of receipt of my our instructions.

However as I/We shall be dealing by ordering over phone and even if we visit the branch, the fluctuations in market are so rapid the it is practical to give written instructions for order placement/modification and cancellation, I/We hereby authorize you accept my/our authorized representative's verbal instructions for order placement/modification and cancellation in person or over phone (fixed line/mobile phone) and execute the same. I/We understand the risk associated with verbal orders and accept the same, and agree that I/We shall not be entitled to disown orders and consequent trades (if any) shifting the burden of proof by asking you prove the placement/modification and cancellation of orders through telephone recording or otherwise.

I/We shall be liable for all losses, damages and actions which may arise as a consequences of your adhering to and out my/our directions given above. carrying

Thanking you

Your Faithfully,

Name :

Sign here : (16) 